

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1968NP/C503326-003

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/719399

INTERNATIONAL APPLICATION NO.

PCT/NZ99/00081

INTERNATIONAL FILING DATE

10 June 1999 (10.06.99)

PRIORITY DATE CLAIMED

10 June 1998 (10.06.98)

TITLE OF INVENTION

SOFTWARE WATERMARKING TECHNIQUES

APPLICANT(S) FOR DO/EO/US

Christian Sven Collberg and Clark David Thomborson

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. (c)(3)).
- ☒ An Oath or Declaration of the inventor(s) (35 U.S.C. (c)(4)). **Copy - unexecuted**
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** Preliminary Amendment.
☐ A **SECOND** or **SUBSEQUENT** Preliminary Amendment.
14. ☐ A substitute specification.
15. ☐ A change of Power of Attorney and/or address letter.
16. ☒ Other items or information: **Copy of Preliminary Examination Report with Annexes of replacement pages 5, 18 and 20.**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/7719399		INTERNATIONAL APPLICATION NO. PCT/NZ99/00081		ATTORNEY' DOCKET NUMBER 1968NP/C503326-003	
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17. <input checked="" type="checkbox"/> The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.482) Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00 and International Search Report not prepared by the EPO or JPO International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1)-(4). \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33 (1)-(4) \$ 100.00 <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT</div>				CALCULATIONS PTO USE ONLY 	
				\$ 1,000.00	
Surcharge of \$130.00 for furnishing the Oath or Declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	57 - 20	37	x 18.00	\$ 666.00	
Independent Claims	16 - 3	13	x 80.00	\$ 1,040.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00	\$ 270.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 3,106.00	
<input checked="" type="checkbox"/> Applicant claims Small Entity Status. See 37 CFR 1.27. Fees reduced by 50%.				\$	
SUBTOTAL =				\$ 3,106.00	
Surcharge of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 3,106.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +				\$	
TOTAL FEES ENCLOSED =				\$ 3,106.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ Check No. 1809 in the amount of \$ 3,106.00 for payment of the above fees is enclosed.

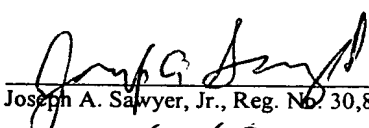
b. ☐ Please charge Deposit Account No. _____ in the amount of \$ _____ for payment of the above fees.
A duplicate of this sheet is attached.

c. ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this
communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or
(b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
P.O. Box 51418
Palo Alto, California 94303
US


 Joseph A. Sawyer, Jr., Reg. No. 30,801
12/21/00
 Date